

# Green Finance

## 綠色金融

### Certificate for Module (CFA ESG Investing)

Programme Code: FN084A



Application Code: 2340-FN084A

2867 8322

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Environmental, social and governance (ESG) becomes a major agenda item among global financial institutions around the world. According to data from Morningstar, US research firm in financial services, assets in sustainable funds grew 53 per cent year-on-year to US\$2.74 in 2021. The momentum is taking place in Asia because of regulatory requirements and increasing client demand.

According to 2021 research paper of "Careers of Tomorrow: Financial Talents in the Digital, Sustainable Economy in Hong Kong" by Hong Kong Financial Services Development Council, there is a strong demand of ESG skills in areas of ESG frameworks and regulations, design of ESG investment products and data science applications to ESG investing, reporting and disclosure.

**R** Applicants shall hold an Advanced Diploma, a Higher Diploma or an Associate Degree awarded by a recognised institution. Those with other qualifications will be considered on individual merit. Students are required to check with CFA Institute that they fulfil the entry requirements of the CFA Certificate in ESG Investing examination.

**S** HK\$9,400 per programme  
Application Fee: HK\$150

**D** 30 hours

English

**Q** Level 5 (Reg. No.: 22/000815/L5) Validity Period: 01 Oct 2022 - on-going

### Certificate for Module (Sustainable Finance and Green FinTech)

Programme Code: FN098A



Application Code: 2335-FN098A

2867 8331 / 2867 8424

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For details, please refer to p.073

# Investment Management

## 投資管理

### Postgraduate Certificate in Banking and Corporate Treasury Management

Programme Code: FN043A



Application Code: 2340-FN043A

2867 8392

tmm@hkuspace.hku.hk

The target student groups for this programme are financial services practitioners who need to understand treasury from the customer's perspective and would like to pursue in-depth knowledge of banking and corporate treasury function in global financial markets. Practitioners such as front office dealers, specialists in treasury banking operations, corporate treasury specialists, treasury risk analysts, treasury management product analysts, treasury and pension officers, corporate treasury back office specialists, internal auditors in treasury operations, or consultants are suitable to attend the programme.

TMA will offer the TMA Level 2 (Full Member) membership to graduates who have successfully completed this programme.

See legend on page 031 圖像說明於第031頁

**R** Minimum Entry Requirements 基本入學要求 (P.017)

**S** Fee 學費

**D** Duration 修業期

**E** Medium of Instruction 教學語言

**Q** Qualifications Framework 資歷架構

**E** Exemption 豁免

### 證書 (單元：財富增值系列： 綠色金融與社會責任投資)

課程編號：FN065A



報名代碼：2335-FN065A

2520 4612

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課程旨在教授學員認識綠色金融及社會責任投資 (SRI) 的概念，了解綠色金融及社會責任投資的發展趨勢，介紹有關 SRI 及綠色金融的投資產品及洞悉其投資價值。此外，本課程亦會指導學員就有關 SRI 及綠色金融的投資產品進行分析及選擇。完成課程後，學員能夠選取適合自己的投資產品以作策略投資部署。

**R** 申請人應該完成香港中學會考課程或香港高中課程。  
申請人如持有其他同等資格，學院將按個別情況考慮。

**S** HK\$6,200

**D** 30 小時

粵語

**Q** 資歷架構級別：3 資歷名冊登記號碼：21/000554/L3  
資歷名冊登記有效期：2021年9月1日 - 持續有效

### 綠色及可持續金融證書

課程編號：FN097A



報名代碼：2280-FN097A

2867 8322

cfaesg@hkuspace.hku.hk

本課程主旨是教授學員綠色及可持續金融的投資生態系統，環境、社會及管治 (Environmental, Social and Governance 簡稱 ESG) 環球標準、框架及報告、氣候風險和可持續金融發展的監管趨勢。

**R** 申請人必須  
(i) 於香港中學文憑考試獲5科第2級，包括中國語文科；或  
(ii) a) 於香港中學會考獲5科E級，包括中國語文科 (2007年或以前)；或  
b) 於香港中學會考獲4科E級及中國語文科獲第2級 (2007年或以後)。  
申請人如持有其他同等學歷及年滿21歲或以上將會作個別考慮。

**S** HK\$20,790  
報名費用：HK\$150

**D** 9 個月

粵語，輔以英語

**Q** 資歷架構級別：3 資歷名冊登記號碼：23/000387/L3  
資歷名冊登記有效期：2023年5月1日 - 持續有效

**R** Applicants shall hold a bachelor's degree awarded by a recognized institution.

If the degree is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency such as:

- an overall band of 6.0 with no subtests lower than 5.5 in the IELTS;
- a score of 550 in the paper-based TOEFL, or a score of 213 in the computer-based TOEFL, or a score of 80 in the internet-based TOEFL;
- HKALE Use of English at Grade E;
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

**E** Students may apply for exemptions of up to one module of the programme. Exemptions may be granted for individual module if the student has successfully completed a module of comparable content and standard. Application for exemptions will be deliberated and decided by the Programme Team.

**S** HK\$6,800 per module  
Application Fee: HK\$150

**D** 12 months

English

**Q** Level 6 (Reg. No.: 17/000492/L6) Validity Period: 19 May 2017 - on-going

**Postgraduate Diploma in Investment Management  
and Financial Intelligence**  
Programme Code: FN044A

Application Code: 2285-FN044A

2867 8476

pgdimimfi@hkuspace.hku.hk



The programme aims to:

- impart financial and investment management knowledge and skills to students to enhance their financial decision making;
- facilitate students to understand and analyze contemporary issues as well as the latest development in the financial world;
- prepare students to sit for the CFA examinations based on the Candidate Body of Knowledge;
- equip students with the latest technologies on Big Data and Artificial Intelligence for financial industry;
- stimulate students to apply financial intelligence to perform investment management.

**R** Applicants shall hold a bachelor's degree awarded by a recognized institution.

If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- i. an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- ii. a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- iii. HKALE Use of English at Grade E or above; or
- iv. HKDSE Examination English Language at Level 3 or above; or
- v. equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

Remark: Applicants with relevant academic and/or professional qualifications may approach the Programme Team for application of exemption.

**\$** HK\$8,500 per module

Application Fee: HK\$150

**D** 1 year to 2 years

English

**Q** Level 6 (Reg. No.: 17/001054/L6) Validity Period: 01 Jan 2018 - on-going

**投資管理證書**

課程編號 : FN030A

報名代碼 : 2285-FN030A

2867 8322

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近年金融市場瞬息萬變，環球經濟充斥很多不穩定因素，投資者要於日趨複雜的投資市場獲利，便須進一步了解各種投資產品，運用各種交易策略以增加投資回報及避險。本課程包含股票、外匯、衍生產品及對沖基金的投資理論，引用實例講解複雜的交易策略，讓學員掌握不同市況的投資策略。

**R** 申請人必須：

- a) 於香港中學會考獲5科E級，包括於中國語文科(2007年或以前)；或
- b) 於香港中學會考獲4科E級及中國語文科第2級(2007年或以後)；或
- 於香港中學文憑考試獲5科第2級，包括中國語文科。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

**\$** 單元一：股票投資策略 HK\$4,500

單元二：金融技術及趨勢分析 HK\$4,500

單元三：外匯、衍生工具、結構性產品及對沖基金交易策略 HK\$5,800

報名費用 : HK\$150

**D** 9個月

粵語輔以英語

**Q** 資歷架構級別 : 3 資歷名冊登記號碼 : 15/002752/L3

資歷名冊登記有效期 : 2015年7月24日 - 持續有效

**證書 (單元 : 股票投資策略)**

課程編號 : FN032A

報名代碼 : 2285-FN032A

2867 8322

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近年金融市場瞬息萬變，環球經濟充斥很多不穩定因素，投資者要於日趨複雜的投資市場獲利，便須進一步了解各種投資產品，並運用不同的交易策略以增加投資回報及避險。

**R** 申請人必須：

- a) 於香港中學會考獲5科E級，包括於中國語文科(2007年或以前)；或
- b) 於香港中學會考獲4科E級及中國語文科第2級(2007年或以後)；或
- 於香港中學文憑考試獲5科第2級，包括中國語文科。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

**\$** HK\$4,500

**D** 3個月

粵語輔以英語

**Q** 資歷架構級別 : 3 資歷名冊登記號碼 : 15/002754/L3

資歷名冊登記有效期 : 2015年7月24日 - 持續有效

**證書 (單元 : 金融技術及趨勢分析)**

課程編號 : FN033A

報名代碼 : 2280-FN033A

2867 8322

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近年金融市場瞬息萬變，環球經濟充斥很多不穩定因素，投資者要於日趨複雜的投資市場獲利，便須進一步了解各種投資產品，並運用不同的交易策略以增加投資回報及避險。

**R** 申請人必須：

- a) 於香港中學會考獲5科E級，包括於中國語文科(2007年或以前)；或
- b) 於香港中學會考獲4科E級及中國語文科第2級(2007年或以後)；或
- 於香港中學文憑考試獲5科第2級，包括中國語文科。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

**\$** HK\$4,500

**D** 3個月

粵語輔以英語

**Q** 資歷架構級別 : 3 資歷名冊登記號碼 : 15/002755/L3

資歷名冊登記有效期 : 2015年7月24日 - 持續有效

**證書 (單元 : 外匯、衍生工具、結構性產品及對沖基金交易策略)**

課程編號 : FN034A

報名代碼 : 2285-FN034A

2867 8322

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近年金融市場瞬息萬變，環球經濟充斥很多不穩定因素，投資者要於日趨複雜的投資市場獲利，便須進一步了解各種投資產品，運用各種交易策略以增加投資回報及避險。本課程包含股票、外匯、衍生產品及對沖基金的投資理論，引用實例講解複雜的交易策略，讓學員掌握不同市況的投資策略。

**\$** HK\$5,800

**D** 3個月

粵語輔以英語

**NCR1**

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

**NCR2**

These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。

**NCR3**

It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

# Investment Management

## 投資管理

### 證書 (單元 : 美股投資策略)

課程編號 : FN070A

報名代碼 : 2280-FN070A



2867 8322

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本課程介紹美國股票市場的運作和教授美股基礎和趨勢分析，並透過不同的美股實例幫助學員建構美股投資組合及風險管理。

**R** 申請人必須

- (i) 於香港中學文憑考試獲5科第2級包括中國語文科；或
- (ii) a) 於香港中學會考獲5科E級包括中國語文科(2007年或以前)；或
- b) 於香港中學會考獲4科E級及中國語文科獲第2級(2007年或以後)。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

\$ HK\$6,700

D 3個月

Q 資歷架構級別 : 3 資歷名冊登記號碼 : 21/001426/L3

資歷名冊登記有效期 : 2021年12月13日 - 持續有效



### 證書 (單元 : 環球新經濟投資攻略)

課程編號 : FN071A

報名代碼 : 2290-FN071A



2867 8322

cgim@hkuspace.hku.hk

本課程讓學員透過投資顧問的角色去研究不同的環球新經濟投資策略及培養新經濟投資觸覺，分析不同的環球新經濟個案和投機泡沫實例，幫助學員部署新經濟投資組合。

**R** 申請人必須

- (i) 於香港中學文憑考試獲5科第2級包括中國語文科；或
- (ii) a) 於香港中學會考獲5科E級包括中國語文科(2007年或以前)；或
- b) 於香港中學會考獲4科E級及中國語文科獲第2級(2007年或以後)。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

\$ HK\$5,200

D 3個月

Q 資歷架構級別 : 3 資歷名冊登記號碼 : 21/001425/L3

資歷名冊登記有效期 : 2021年12月13日 - 持續有效



### 證書 (單元 : 加密貨幣投資解碼)

課程編號 : FN072A

報名代碼 : 2280-FN072A



2867 8322

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此課程介紹區塊鏈技術、區塊鏈的運作和應用與現今加密貨幣市場的運作，並透過不同的加密貨幣實例分析，幫助學員了解加密貨幣生態圈及其風險管理。

**R** 申請人必須

- (i) 於香港中學文憑考試獲5科第2級包括中國語文科；或
- (ii) a) 於香港中學會考獲5科E級包括中國語文科(2007年或以前)；或
- b) 於香港中學會考獲4科E級及中國語文科獲第2級(2007年或以後)。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

\$ HK\$5,200

D 3個月

Q 資歷架構級別 : 3 資歷名冊登記號碼 : 21/001424/L3

資歷名冊登記有效期 : 2021年12月13日 - 持續有效



### Certificate for Module (CFA Level I Examination Preparatory Programme)

Programme Code: FN089A



Application Code: 2340-FN089A

2867 8468

cfa@hkuspace.hku.hk

The programme aims to prepare students to sit for the Chartered Financial Analyst (CFA) Level I examination. It will provide students with knowledge of quantitative methods, economic and financial analysis, and portfolio management. It also covers the ethical and professional standards requirement for an asset manager.

**R** Applicants should hold a degree awarded by a recognised institution.

Applicants should check with the CFA Institute that they are eligible to attempt the examination.

\$ HK\$14,000

D 2 months to 3 months

E 中 English

Q Level 6 (Reg. No.: 22/001002/L6) Validity Period: 01 Dec 2022 - on-going

### Certificate for Module (CFA Level II Examination Preparatory Programme)

Programme Code: FN090A



Application Code: 2340-FN090A

2867 8468

cfa@hkuspace.hku.hk

The programme aims to prepare students to sit for the Chartered Financial Analyst (CFA) Level II examination. It will provide students with the knowledge in economic and corporate financial analysis, the valuation techniques of investment assets and issues of portfolio management.

**R** Applicants should hold a degree awarded by a recognised institution.

Applicants should check with the CFA Institute that they are eligible to attempt the examination.

\$ HK\$16,100

D 2 months to 3 months

E 中 English

Q Level 6 (Reg. No.: 22/001003/L6) Validity Period: 01 Dec 2022 - on-going

### Certificate for Module (CFA Level III Examination Preparatory Programme)

Programme Code: FN091A



Application Code: 2340-FN091A

2867 8468

cfa@hkuspace.hku.hk

The programme aims to prepare students to sit for the Chartered Financial Analyst (CFA) Level III examination. It will provide students with the advanced knowledge to evaluate various asset classes in investment portfolios and perform asset allocation in synthesized cases. It also enables students to perform wealth planning and portfolio management holistically.

**R** Applicants should hold a degree awarded by a recognised institution.

Applicants should check with the CFA Institute that they are eligible to attempt the examination.

\$ HK\$18,700

D 2 months to 3 months

E 中 English

Q Level 6 (Reg. No.: 22/001004/L6) Validity Period: 01 Dec 2022 - on-going

See legend on page 031 圖像說明於第031頁

R Minimum Entry Requirements 基本入學要求(P.017)

\$ Fee 學費

D Duration 修業期

E Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

S Short Course 短期課程

For more and latest programme information, please visit our website

有關最新課程資訊及詳情，請瀏覽學院網站 [hkuspace.hku.hk](http://hkuspace.hku.hk)

## 證書(單元：全方位基礎金融交易策略)

課程編號：FN118A

報名代碼：2290-FN118A

2867 8322

cgim@hkuspace.hku.hk



本課程旨在教授學員有關金融交易的基本知識，內容涵蓋投資心理學、交易原則、基本分析、交易系統、期權策略、商品衍生工具買賣、基金實戰守則及加密貨幣投資操作技巧。完成本課程後，學員能理解金融交易的基本概念及其應用。

R 申請人必須：

- (i) 於香港中學文憑考試獲5科第2級，包括中國語文科；或
- (ii) 於香港中學會考獲4科E級，包括中國語文科\*。

申請人如持有其他同等學歷將會作個別考慮。

\$ HK\$6,000

D 30小時

E 粵語輔以英語

Q 資歷架構級別：3 資歷名冊登記號碼：25/000005/L3

資歷名冊登記有效期：2025年4月1日 - 持續有效

## Certificate for Module (Examinations Preparatory Programme for Financial Risk Manager (FRM) Part I)

Programme Code: FN053A

Application Code: 2355-FN053A

2975 5767

frmexam@hkuspace.hku.hk



The programme aims to prepare students for taking the Financial Risk Manager Part I examination and provide them with a sound theoretical grounding in financial risk management. The programme covers various risk measure techniques to perform financial analysis and investment management. It imparts financial and investment knowledge and skills to students to enhance their financial decision making.

R Applicants shall hold a bachelor's degree awarded by a recognised institution.

If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- i. an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- ii. a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- iii. HKALE Use of English at Grade E or above; or
- iv. HKDSE Examination English Language at Level 3 or above; or
- v. equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

Students are highly encouraged to check with the Global Association of Risk Professionals (GARP) for their eligibility of taking the FRM Part I Examination.

\$ HK\$6,480

Application Fee: HK\$150

D 4 months and 36 hours

E English

Q Level 6 (Reg. No.: 20/000614/L6) Validity Period: 15 Oct 2020 - on-going

## Certificate for Module (Examinations Preparatory Programme for Financial Risk Manager (FRM) Part II)

Programme Code: FN054A

Application Code: 2385-FN054A

2975 5767

pdfrm@hkuspace.hku.hk



The programme aims to prepare students for taking the Financial Risk Manager Part II examination and provide them with a broad range of risk management concepts and techniques. The programme covers the management and techniques of various financial risks to enhance their financial decision making. It stimulates students to apply valuation techniques to perform financial risk management.

R Applicants shall hold a bachelor's degree awarded by a recognised institution.

If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- i. an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- ii. a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- iii. HKALE Use of English at Grade E or above; or
- iv. HKDSE Examination English Language at Level 3 or above; or
- v. equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

Students are highly encouraged to check with the Global Association of Risk Professionals (GARP) for their eligibility of taking the FRM Part II Examination.

\$ HK\$7,800

Application Fee: HK\$150

D 4 months

E English

Q Level 6 (Reg. No.: 20/000615/L6) Validity Period: 15 Oct 2020 - on-going

## 證書(單元：綠色投資與ESG房地產科技)

課程編號：FN105A

報名代碼：2345-FN105A

2975 5767

cmgipe@hkuspace.hku.hk



本課程旨在讓學員認識房地產科技在可持續發展領域的應用，瞭解其對房地產業影響。學員將學習綠色金融投資概念、綠色債券發行、房地產科技定義和趨勢、ESG目標實現、綠色建築應用、物管業如何應用房地產科技等。學員亦可認識如何透過房地產科技推動可持續發展，應對相關挑戰和機遇。

R 申請人必須：

- 1. 於香港中學文憑考試考獲五科第2級或以上的成績（包括中國語文科）；或
- 2. 於香港中學會考考獲4科E級，及於中國語文科考獲第2級或以上的成績\*。

申請人如持有其他同等學歷及相關的工作經驗，學院將會作個別考慮。

\$ HK\$6,900

報名費用：HK\$150

D 30小時

E 粵語輔以英語

Q 資歷架構級別：3 資歷名冊登記號碼：23/001137/L3

資歷名冊登記有效期：2023年12月1日 - 持續有效

## Certificate for Module (Merger and Acquisition Series: Business Valuation and Financing)

Programme Code: FN087A

Application Code: 2270-FN087A

2867 8476

cmma@hkuspace.hku.hk



The programme is designed to provide students with an understanding of the methods of business valuation. It will provide an overview of the financing process. Students will learn the pros and cons of using financial ratios to evaluate a business deal. Financial processes and techniques such as merger and acquisitions, initial public offers, leveraged buy-outs, corporate restructuring and reorganisation and liquidation will be discussed. At the end of the programme, students are expected to know how to interpret the financial statements and review shortcomings of capital budgeting tools.

\$ HK\$9,000

Application Fee: HK\$150

D 30 hours

E English

Q Level 5 (Reg. No.: 22/000833/L5) Validity Period: 01 Nov 2022 - on-going

### NCR1

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。

### NCR2

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

### NCR3

These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。

The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 程課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

# Investment Management

## 投資管理

### Certificate for Module (Merger and Acquisition Series: Due Diligence, Tax and Accounting Issues)

Programme Code: FN086A

Application Code: 2290-FN086A

📞 2867 8476

✉ cmma@hkuspace.hku.hk



The programme is designed to provide a comprehensive overview of the entire merger and acquisition process, with particular focus on important issues related to accounting and taxation such as consolidation issues and fair value measurement. Students will also be introduced to different aspects of due diligence in the M&A process, such as red flags, due diligence programme structure and parties involved, so that they can prepare the due diligence report at the end of the programme.

**R** Applicants shall hold:

- (i) a relevant bachelor's degree awarded by a recognized university or equivalent; or
- (ii) a relevant Associate Degree / Higher Diploma or equivalent, and have at least 2 years of related work experience.

Applicants with other qualifications will be considered on individual merit.

HK\$9,000

Application Fee: HK\$150

30 hours

English

Q Level 5 (Reg. No.: 22/000834/L5) Validity Period: 01 Nov 2022 - on-going

### Certificate for Module (Merger and Acquisition Series: Strategies Issues)

Programme Code: FN085A

Application Code: 2180-FN085A

📞 2867 8476

✉ cmma@hkuspace.hku.hk



The programme aims to explain and evaluate the major strategic issues involved in the M&A process. The programme focuses on analyzing a wide range of business-oriented considerations in any M&A activities such as how to determine the considerations and ownership structures. At the end of the programme, the students are expected to develop a sense of appreciation of the significant issues affecting the success and failure of a M&A deal.

HK\$9,000

Application Fee: HK\$150

30 hours

English

Q Level 5 (Reg. No.: 22/000835/L5) Validity Period: 01 Nov 2022 - on-going

See legend on page 031 圖像說明於第031頁

**R** Minimum Entry Requirements 基本入學要求(P.017)

**\$** Fee 學費

**D** Duration 修業期

**E** Medium of Instruction 教學語言

**Q** Qualifications Framework 資歷架構

**E** Exemption 豁免

### Certificate for Module (Private Equity and IPO)

Programme Code: FN075A

Application Code: 2350-FN075A

📞 2867 8476

✉ cmma@hkuspace.hku.hk



The Certificate for Module (Private Equity and IPO) aims to provide students with essential knowledge in Private Equity markets and Initial Public Offering (IPO). It will discuss various issues such as the development of Private Equity markets and IPO in different regions; the structuring and executing Private Equity and IPO; the considerations and processes involved in Private Equity and IPO transactions, updated legal and regulatory development in Hong Kong. Real-life cases will also be discussed. After completing the programme, students can understand the processes and contemporary issues related to Private Equity and IPO.

**R** Applicants shall hold a bachelor's degree awarded by a recognized institution.

If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- i. an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- ii. a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- iii. HKALE Use of English at Grade E or above; or
- iv. HKDSE Examination English Language at Level 3 or above; or
- v. equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

HK\$9,000 per programme

Application Fee: HK\$150

30 hours

English

Q Level 6 (Reg. No.: 22/000017/L6) Validity Period: 01 Feb 2022 - on-going

### Certificate for Module (Prompt Engineering for Finance and Business)

Programme Code: FN128A

Application Code: 2235-FN128A

📞 2867 8322

✉ ringo.chan@hkuspace.hku.hk



This programme aims to equip students with the fundamentals of prompt engineering, enabling them to create tailored prompts for AI tools that automate enterprise operation, enhance data analysis, and provide actionable insights in a business and finance context. It covers the basics of generative AI, techniques for prompt engineering, no-code AI platform applications, use cases of prompt engineering and challenges of prompt engineering. Case studies on strategic decision making through prompt engineering in finance and business will be examined.

**R** Applicants shall hold a bachelor's degree awarded by a recognised institution. If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- a score of 550 or above in the paper-based TOEFL, or a score of 213 or above on the computer-based TOEFL, or a score of 80 or above on the internet-based TOEFL; or
- HKALE Use of English at Grade E or above; or
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

HK\$9,000

30 hours

English

Q Level 5 (Reg. No.: 25/000465/L5) Validity Period: 01 Apr 2025 - on-going

For more and latest programme information, please visit our website  
有關最新課程資訊及詳情, 請瀏覽學院網站 [hkuspace.hku.hk](http://hkuspace.hku.hk)

**Certificate for Module  
(Tokenisation and Digital Asset Trading)**  
Programme Code: FN124A

Application Code: 2290-FN124A



2867 8322

ringo.chan@hkuspace.hku.hk

This programme aims to equip students with the latest knowledge in tokenisation of real world assets and different digital assets. It covers concepts of tokenisation, token-based blockchain platform, smart contracts, token issuance, different digital asset trading strategies, regulatory landscape of digital assets and challenges of tokenisation. Case studies of various tokenisation and digital assets in Hong Kong will be examined.

**R** Applicants shall hold a bachelor's degree awarded by a recognised institution. If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:  
 - an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or  
 - a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above on the internet-based TOEFL; or  
 - HKALE Use of English at Grade E or above; or  
 - HKDSE Examination English Language at Level 3 or above; or  
 - equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

**\$** HK\$9,000

**D** 30 hours

English

**Q** Level 5 (Reg. No.: 25/000365/L5) Validity Period: 01 May 2025 - on-going

**財富增值系列**

為了回應學員們的學習需要及對投資知識的濃厚興趣，香港大學專業進修學院推出一系列有關財富增值的短期課程，包括債券投資心法、指數指標投資攻略、大時代下之股票投資及技術分析、衍生工具投資解碼、穩健投資組合、逆境投資攻略、ETF投資攻略、ESG投資法、選股價值投資法、另類投資策略、綠色金融與社會責任投資及新國際經濟秩序下的環球投資。

**證書 (單元：財富增值系列：另類投資策略)**

課程編號：FN068A



2520 4612

finsc@hkuspace.hku.hk

課程旨在教授學員認識另類投資，比較另類投資產品與傳統投資產品的分別，了解另類投資產品如名錶、葡萄酒及烈酒、非同質化代幣 (NFT)、環球房地產、可交易配額、與另類投資相關的上市交易所買賣基金 (ETF) 等，從而將另類投資產品加入投資組合。此外，本課程亦會指導學員就有關另類投資產品進行投資分析，選擇適合自己的平衡投資策略。

**R** 申請人應該完成香港中學會考課程或香港高中課程。  
 申請人如持有其他同等資格，學院將按個別情況考慮。

**\$** HK\$5,500

**D** 30 小時

粵語

**Q** 資歷架構級別：3 資歷名冊登記號碼：21/001167/L3

資歷名冊登記有效期：2021年11月1日 - 持續有效

**證書 (單元：財富增值系列：ETF投資攻略)**

課程編號：FN051A



報名代碼：2290-FN051A

2520 4612

finsc@hkuspace.hku.hk

課程旨在介紹上市交易所買賣基金 (ETF) 的投資特性，辨別所持資產類別 (例如指數、股票、債券等)，讓學員認識 ETF 作為環球投資的方法及分散風險的概念。完成課程後，學員能夠了解 ETF 投資策略，選擇適合自己的 ETF 產品作為投資部署，專業講者亦會分享其 ETF 交易實戰投資心得。

**R** 申請人應該完成香港中學會考課程或香港高中課程。  
 申請人如持有其他同等資格，學院將按個別情況考慮。

**\$** HK\$6,400

**D** 5 個星期

粵語

**Q** 資歷架構級別：3 資歷名冊登記號碼：20/000583/L3

資歷名冊登記有效期：2020年9月1日 - 持續有效

**證書 (單元：財富增值系列：  
新國際經濟秩序下的環球投資)**

課程編號：FN066A



報名代碼：2335-FN066A

2520 4612

finsc@hkuspace.hku.hk

課程旨在教授學員認識不同經濟大國和聯盟的政策及經濟發展，了解新國際經濟秩序下對不同國家及地區的金融市場所產生的影響，從而研究有關環球投資的課題。此外，本課程亦會指導學員就有關環球投資進行經濟及投資分析，選擇適合自己的環球投資策略。

**R** 申請人應該完成香港中學會考課程或香港高中課程。  
 申請人如持有其他同等資格，學院將按個別情況考慮。

**\$** HK\$6,200

**D** 30 小時

粵語

**Q** 資歷架構級別：3 資歷名冊登記號碼：21/000553/L3

資歷名冊登記有效期：2021年9月1日 - 持續有效

**證書 (單元：財富增值系列：選股及價值投資法)**

課程編號：FN052A



報名代碼：2335-FN052A

2520 4612

finsc@hkuspace.hku.hk

課程旨在教授學員如何運用基本分析方法研究上市公司股票的內在價值，應用股票價值評估方法以選擇公司股票。此外，也會指導學員對作為投資目標的上市公司進行分析及作出財務預測，並討論價值投資方法及股票投資策略。

**\$** HK\$6,700

**D** 5 個星期

粵語

**Q** 資歷架構級別：3 資歷名冊登記號碼：20/000584/L3

資歷名冊登記有效期：2020年9月1日 - 持續有效

**財富增值系列：穩健投資組合**

課程編號：FINA9349



報名代碼：2290-1713NW

2520 4612

finsc@hkuspace.hku.hk

本課程為財富增值系列中的一個短期課程，旨在讓學員認識不同的投資工具，例如股票、債券、外匯、交易所買賣基金 (ETF) 等，了解個人的投資目標及限制，訂立個人投資計劃，建立及管理好個人投資組合，當上自己的個人基金經理，讓投資組合提供穩定回報。此外，在課程中講者將分享不同的投資策略和有關例子，以助學員設計適合個人的穩健投資組合。

**\$** HK\$3,200

**D** 5 個星期

粵語

**NCR1**

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

**NCR2**

These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。

**NCR3**

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

## Investment Management

## 投資管理

## 財富增值系列：債券投資心法

課程編號：FINA9337

報名代碼：2335-1669NW

2520 4612

finsc@hkuspace.hku.hk

本課程為財富增值系列中的一個短期課程，旨在向學員學習固定收入證券投資的概念及術語，認識不同的債券產品，了解固定收入證券投資中潛在的風險及回報，以幫學員實現更好的投資決策。

HK\$3,200

5個星期

粵語



## 財富增值系列：衍生工具投資解碼

課程編號：FINA9339

報名代碼：2335-1798NW

2520 4612

finsc@hkuspace.hku.hk

本課程為15小時短期課程，旨在向學員介紹期權及結構性產品投資的概念及術語，專業講者將會分析當前在貿易戰下的投資環境，讓學員認識期權的運作及不同類型的結構性投資產品，並了解有關衍生工具及投資產品的潛在風險及回報，專業講者亦會分享期權及結構性投資產品的投資心得，以幫學員實現更好的投資決策。

HK\$3,200

5個星期

粵語



## 財富增值系列：逆境投資攻略

課程編號：FINA9350

報名代碼：2335-1795NW

2520 4612

finsc@hkuspace.hku.hk

本課程為15小時短期課程，旨在向學員分析在逆境下環球經濟的形勢及相關的投資機會，了解競爭策略、五力模型及價值鏈分析，分享著名投資大師的投資策略，選擇有升值潛力的板塊及行業以作長遠投資。此外，在課程中講者將分享投資策略和有關例子，以助學員作出宏觀經濟分析及制定環球投資決策。

HK\$3,200

5個星期

粵語



## 財富增值系列：指數指標投資攻略

課程編號：FINA9336

報名代碼：2340-1215NW

2520 4612

finsc@hkuspace.hku.hk

本課程為財富增值系列中的一個短期課程，旨在教授學員認識常見的經濟指數和財經指標，並了解其背後的投資啟示，以幫助投資者制定投資策略。此外，講者將分享技術指標的應用，以協助作出精明的投資決策。

HK\$3,200

5個星期

粵語



See legend on page 031 圖像說明於第031頁

R Minimum Entry Requirements 基本入學要求(P.017)

S Fee 學費

D Duration 修業期

E Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

E Exemption 豁免

S Short Course 短期課程

E Cant. supp with English (lecture materials in Eng)

財富增值系列：  
大時代下之股票投資及技術分析

課程編號：FINA9338

報名代碼：2290-1715NW

2520 4612

finsc@hkuspace.hku.hk

本課程為財富增值系列中的一個短期課程，旨在向學員介紹有關挑選持有升值潛力的上市公司及賣出股價有下跌可能的方法，觀察股票市場的投資情緒，並運用技術分析以助制定買賣決策。

HK\$3,200

5個星期

粵語



## Executive Diploma in Anti-Money Laundering and Counter-Terrorist Financing

Programme Code: EP087A

Application Code: 2285-EP087A

2867 8312

ecaml@hkuspace.hku.hk

For details, please refer to p.059



## 股市大數據投資攻略

課程編號：FINA9306

報名代碼：2340-1394NW

2520 4612

finsc@hkuspace.hku.hk

課程介紹各種不同的取數方法來解讀公司狀態，如：盈喜盈警數據，績優績差數據，賣殼或私有化數據等等。香港交易所發布的本地股票市場數據及各種互聯網經濟數據複雜繁多，如何利用各種解讀方式從而判斷投資決策，系統式數據能有效增強投資收益。

HK\$2,700

5個星期

粵語



## CFA Practical Skills Module Workshop Series

CFA Practical Skills Module Workshop Series  
(Analyst Skills)

Programme Code: FINA9368

Application Code: 2335-1741NW

2867 8468

cfa@hkuspace.hku.hk

This is a 9-hour short course to focus on CFA Practical Skills Module. Students will learn the skills required for success as buy-side and sell-side equity research analysts. Students will also explore how to conduct thorough industry research, research companies strategically, forecast accurately, identify mispricings, and make recommendations based on their work.

HK\$3,600

9 hours

Cant. supp with English (lecture materials in Eng)



For more and latest programme information, please visit our website

有關最新課程資訊及詳情，請瀏覽學院網站 [hkuspace.hku.hk](http://hkuspace.hku.hk)

### CFA Practical Skills Module Workshop Series (Financial Modeling)

Programme Code: FINA9366

Application Code: 2335-1739NW

2867 8468

cfa@hkuspace.hku.hk

This is a 9-hour short course to focus on CFA Practical Skills Module. Students will learn how to build three-statement financial models as it is done on Wall Street. Students will explore best practices, discover optimal model flow and design, strengthen Excel practical skills and tackle more complex modeling cases in practice.

HK\$3,600

9 hours

Cant, supp with English (lecture materials in Eng)

### CFA Practical Skills Module Workshop Series (Python, Data Science & AI)

Programme Code: FINA9369

Application Code: 2335-1743NW

2867 8468

cfa@hkuspace.hku.hk

This is a 9-hour short course to focus on CFA Practical Skills Module. Students will follow the data science workflow from financial data ingestion to training artificial neural networks. Also, students will have the opportunity to pull financial data and use the standard tools and techniques to prepare it to deliver insights, work through an example of forecasting percentage change in EPS, and explore a common natural language processing task of sentiment analysis.

HK\$3,600

9 hours

Cant, supp with English (lecture materials in Eng)

### CFA Practical Skills Module Workshop Series (Python Programming Fundamentals)

Programme Code: FINA9367

Application Code: 2335-1747NW

2867 8468

cfa@hkuspace.hku.hk

This is a 9-hour short course to focus on CFA Practical Skills Module. Students will explore the basics of Python and how to use Jupyter Notebooks to develop, present, and share data science projects related to finance. Also, the workshop assists students to quickly build up their coding skills and apply them to dozens of industry-specific examples.

HK\$3,600

9 hours

Cant, supp with English (lecture materials in Eng)

### Chartered Financial Analyst Refresher Course - Level 1

Programme Code: FINA9277

Application Code: 2340-1236NW

2867 8468

cfa@hkuspace.hku.hk

This is a 10-hour short course to focus on CFA level 1 exam questions.

R There are no specific entry requirements. However, students should check the requirements of the CFA Institute to ensure that they qualify to sit for the CFA examinations.

HK\$3,600

10 hours

Cantonese, suppl with English

**NCR1**

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

**NCR2**

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

**NCR3**

It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

### Chartered Financial Analyst Refresher Course - Level 2

Programme Code: FINA9278

Application Code: 2340-1238NW

2867 8468

cfa@hkuspace.hku.hk

This is a 10-hour short course to focus on CFA level 2 exam questions. Students are assumed to have the knowledge required for the CFA syllabus and this course will help them go through different type of questions step-by-step and the relevant tricks required to tackle these questions.

R There are no specific entry requirements. However, students should check the requirements of the CFA Institute to ensure that they qualify to sit for the CFA examinations.

HK\$3,400

10 hours

Cantonese, suppl with English

### Chartered Financial Analyst Refresher Course - Level 3

Programme Code: FINA9324

Application Code: 2340-1092NW

2867 8468

cfa@hkuspace.hku.hk

This is a 10-hour short course to focus on CFA level 3 exam questions. Students are assumed to have the knowledge required for the CFA syllabus and this course will help them go through different type of questions step-by-step and the relevant tricks required to tackle these questions.

R There are no specific entry requirements. However, students should check the requirements of the CFA Institute to ensure that they qualify to sit for the CFA examinations.

HK\$3,600

10 hours

Cantonese, suppl with English

### 衍生工具

課程編號 : FINA9008

報名代碼 : 2290-1677NW

2520 4612

finsc@hkuspace.hku.hk

本課程旨在幫助投資者瞭解衍生工具的基本知識，從而減少投資風險及增加收益。課程內容包括：衍生產品市場概覽、認股證、恒指期權證、牛熊證、期貨、遠期市場和利用期貨套期保值、掉期、期權市場、衍生工具交易策略，以及結構產品。

HK\$2,900

5個星期

粵語

### 股票及投資技術分析

課程編號 : FINA9010

報名代碼 : 2335-1784NW

2520 4612

finsc@hkuspace.hku.hk

本課程旨在協助投資者掌握正確的股票投資技巧。課程內容包括：基本分析、技術分析、圖表應用實例、股票買賣的技巧、基礎期權理論、期權策略，以及如何應付牛皮市、熊市和大牛市。

HK\$2,900

5個星期

粵語

# Investment Management

## 投資管理

### 八字五行易卦風水論金融投資運勢

課程編號：FINA9288



報名代碼：2335-1665NW

2520 4612

finsc@hkuspace.hku.hk



本課程旨在介紹八字，五行，易卦，風水一同牽引金融投資運勢。透過八字風水與投資金融概念混合，讓同學可以從新的角度掌握投資技巧！

HK\$2,800

5個星期

粵語

### 中小企財務管理

課程編號：FINA9332



報名代碼：2340-1211NW

2520 4612

finsc@hkuspace.hku.hk



本課程為30小時短期課程，旨在教授學員認識中小企公司財務管理的知識，應用財務分析的方法，決定投資、借貸方向，讓公司不斷成長。此外，講者將分享財務管理實務，以訂立平衡的財務策略。

HK\$5,700

5個星期

粵語

### 外匯投資攻略

課程編號：FINA9320



報名代碼：2290-1590NW

2520 4612

finsc@hkuspace.hku.hk



本課程結合經濟數據分析、技術分析以及風險管理，協助對外匯投資有興趣的人士了解匯市結構和操作。龐大的資金流動性、高度靈活性、以及24小時全天候運作，使外匯市場成為全球交易量最高的金融工具。

HK\$2,700

5個星期

粵語

### 全球經濟數據解讀

課程編號：FINA9294



報名代碼：2335-1793NW

2520 4612

finsc@hkuspace.hku.hk



本課程將討論不同國家經濟數據的含義及其對期望與實際公佈的影響，並強調這些數據如何影響股票、利率和貨幣市場。

HK\$2,700

5個星期

粵語

### 香港統計在商業上的應用

課程編號：FINA9011



報名代碼：2345-5504NW

2520 4612

finsc@hkuspace.hku.hk



本課程旨在讓經理級人員、行政人員及策劃人員深入瞭解香港現有的統計資料，以及如何將這些資料應用於商業及預測上。

HK\$3,000

5個星期

粵語

See legend on page 031 圖像說明於第031頁

R Minimum Entry Requirements 基本入學要求(P.017)

S Fee 學費

D Duration 修業期

E Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

E Exemption 豁免



### 如何閱讀財務報表

課程編號：ACCT9075



報名代碼：2335-1791NW

2520 4612

finsc@hkuspace.hku.hk

本課程旨在教授閱讀及分析財務報表，讓學員認識及瞭解基本財務概念和公司財務狀況。課程內容包括：會計報表基本準則及基本法規；公司盈利分析及預測，以及引用市場實例以作解釋。

HK\$2,800

5個星期

粵語



### 如何閱讀財務報表進階班

課程編號：ACCT9222



報名代碼：2290-1709NW

2520 4612

finsc@hkuspace.hku.hk

「如何閱讀財務報表進階班」課程旨在深入理解財務報表，課程將會使用財務報表作案例研究，並會教授資產、負債、權益、收入、費用等概念，如何為個體公司和集團企業編制通用的財務報告。此外，課程也涵蓋特定課題：納稅的影響，需披露的資料，資產重估，應用程序和財務報表的分析。

HK\$2,800

5個星期

粵語



### 投資管理

課程編號：FINA9009



報名代碼：2290-1596NW

2520 4612

finsc@hkuspace.hku.hk



此為一精要而實用之投資課程，內容豐富實用，旨在協助投資人士掌握股票投資技巧及認識各種股票市場的衍生工具，運用期權對沖風險，現金流的運用技巧去控制投資風險，有助投資者尋找入市良機。

HK\$2,700

5個星期

粵語



### 西方數字學論經濟金融投資運勢

課程編號：FINA9322



報名代碼：2340-1230NW

2520 4612

finsc@hkuspace.hku.hk



本課程旨在認識生命能量數字學的分析方法，了解個人的性格及心理特質，營造良好的個人發揮方向和團體合作方案及提升工作效率成果，應用數字的力量在經濟、金融、投資及管理的商業範疇，從而令財富有所提升。

HK\$2,600

2個星期

粵語



### 投資風險管理

課程編號：FINA9012



報名代碼：2345-5435NW

2520 4612

finsc@hkuspace.hku.hk



本課程旨在協助投資者掌握風險管理知識及技術，並配合策略加以運用。初次介紹：1. 什麼是風險 2. 如何量化風險 3. 套期保值的概念 4. 交易所買賣基金 5. VIX “恐慌指數產品”。減低投資風險，增加投資回報。

HK\$2,700

5個星期

粵語